| Substitute for form 1449/PTO | | | | Complete if Known | | |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many she ets as necessary) | | | | Application Number | 09/914,110-Conf. #9343 | |
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| | | | | First Named Inventor | Noubar B. Afeyan | |
| | | | | Art Unit | 3693 | |
| | | | | Examiner Name | R. C. Weisberger | |
| Sheet | 1 | of | 1 | Attorney Docket Number | FLG-005 | |

| U.S. PATENT DOCUMENTS | | | | | | |
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| Examiner Initials* | Cite No.1 | Document Number Number-Kind Code ² (<i>if known</i>) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
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| | CAT | Lucchetti, Aaron & Grown, Ken, "Amex is Back, Thanks to a Variety of Tradable Index Mutual Funds" The Wall Street Journal Interactive Edition, February 22, 2000 (4 pages). | |
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